

POLICY OVERLAP ANALYSIS TO AVOID POLICY CONFLICT IN POLICY-BASED MANAGEMENT SYSTEMS

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ABSTRACT

A management policy evolves over time by addition, deletion and modifications of rules. Policies authored by different administrators may be merged to form the final system management policy. These operations cause various problems such as policy overlap. Static and dynamic conflicts are considered as two classes of conflict which need to be understood and independently managed. Furthermore, the distinction between these two classes is important; as detecting and resolving of conflict can be computationally intensive, time consuming and hence, costly. However, a dynamic conflict is quite unpredictable, in that it may, or may not, proceed to a state of a realized conflict. In this paper we present static analyses to address the overlap cases when there are two or more policies are enforced simultaneously. Moreover, the paper provides temporal specification patterns to avoid each type of conflicts, and to ensure that policies are enforced correctly.

KEYWORDS

Policy-conflict, overlap, policy-based management, static analysis

1. INTRODUCTION

Policy-based management is a well-established approach where policies are specified as Event-Condition-Action (ECA) rules which specifying the management actions to be performed when certain situations occur. However, the main challenge limits the development of policy-based approach is the policy conflicts. Conflicts may arise in the set of policies and also may arise during the refinement process, between the high-level goals and the implementable policies [1]. The system must be able to handle conflicts such as exceptions to normal authorization policies. For instance, in a large distributed system there will be multiple human administrators specifying policies which are stored on distributed policy servers. Conflict detection between management

policies can be performed statically for a set of policies in a policy server as part of the policy specification process or at run-time [2].

In policy-based management system, policies are specified by the system manager to govern system behavior. Such governing policies evolve over time by policy composition, rule modifications and due to system dynamisms [2]. Multiple policies can become simultaneously eligible for enforcement in a situation and the order of enforcement may determine the final system state. However, in such cases, changes in policies at run time may result in system instability, overlap and cycles among rules which are lead to policy conflicts [3]. The resulting conflicts can be detected and avoided during system design. In this paper, the relationship between policies is a crucial to our discussion of policy conflicts as it is our contention.

Overlap may arise in many situations related to sharing of resources for which both domains have applied to policies that have management responsibilities on the same set of object [4]. Overlapping domains reflect the fact that multiple managers can be responsible for that multiple policies apply to the object or an object. Obviously this can lead to conflicts between policies or managers. Existing approaches to conflict detection are limited in scope and can only detect conflicting actions if they are explicitly stated. In addition, current techniques do not detect overlaps in management policies. This paper presents static analyses to specify and detect potential overlap in order to be avoided earlier since the design time, where most of the required specification can be detected. Moreover, the paper provides temporal specification patterns to avoid the potential overlaps, and to ensure that policies are enforced correctly.

In section II of this paper, briefly presents an introduction of PobMC, and the smart mall system. Section III presents system architecture management. In section IV overlap Analysis is presented and discussed. Sections V provides and discusses conflict analysis. Related work is presented in VII. Finally, conclusions and further work are discussed in section VIII.

2. THE APPLICATION OF POBMC

A. Introduction to PobMC

In PobMC [5], policies are used to control the system behavior. Policies provide a high-level of abstraction and allow us to decouple the adaptation concerns from the application code. Thus, we can change the system behaviour as well as adaptation schemas by changing policies. PobMC is composed of a set of modules; called Self-Managed Module (SMM) is the policy-based building block of PobMC. In our case study, we consider three SMMs including SenModule, LocModule and SecModule to manage sensors, locations and security constraints respectively. An SMM is a set of actors which can manage their behavior autonomously according to predefined policies. PobMC supports interactions of an SMM with other SMMs using well-defined interfaces in the model

Each module in PobMC consists of managers and actors. Actors, which are manage their behaviour autonomously according to predefined policies. Managers, which are manage and provide autonomic behaviour to corresponding actors. Interaction among managers is supported in PobMC.

B. Illustrative Case Study

This section, briefly presents an example that we use throughout the paper to illustrate the supported concepts of adaptation and the underlying modelling techniques.

The Smart Mall System (SMALLS) is a system that allows users to navigate their location in the mall. The users could be able to query the place that they are heading such as baby area, shoes area, food area, banking services area etc. The system directs user how to find the area. SMALLS operation can be summarized as follows. Each user carries a mobile device such as a smart phone as well as a wireless sensor. In addition, locations in the environment shopping area or services area are associated with their own wireless sensors. The sensors determine which area is closest to the user at a given moment and pass this information to a server, which provides specific Web services for each individual object.

SMALLS is required to adapt its behaviour according to the changes of the environment. To achieve this aim, we suppose that the system runs in normal, vacation and failure modes and in each context it enforces various sets of policies to adapt to the current conditions. For the reason of area, here we only identify policies defined for sensing control module while the system runs in normal or failure modes.

3. SYSTEM ARCHITECTURE MANAGEMENT

The main concepts of our system architecture management are grouping objects “domain”, support the specification “a policy service” and reflect the organizational structure “storage of policies and roles”, responsibilities and relationships between the system components “managers”, “coordinator”, and “managed elements”.

Domains are used to group system objects according to object type, responsibility and authority. A sub-domain is a member of another domain “parent domain”. However subdomain is not subset of the parent domain, an object or subdomain may be member of multiple parent domains, figure 1 illustrates the relationship between SMALLS domains. In Figure 1, all the objects in sub-domains SenModule, LocModule and SecModule are members of parent domain SMALLS_Management which therefore overlap.

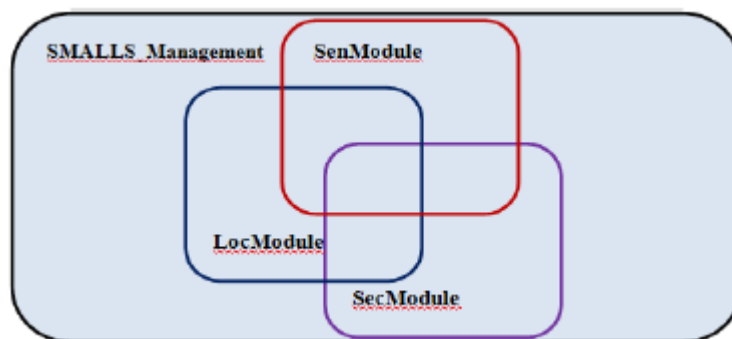


Figure 1. SMALLS Domains

Domains can be identified by path name such as:

/SMALLS_Management/SenModule , // which identify the domain SenModule

Policy applying to domain SenModule will also apply to members of domain SMALLS_Management. Some policies which applying to domain SenModule can be applied to domains SecModule and LocModule. However, all system policies are applying to domain SMALLS_Management.

Using union \cup , intersection \cap and difference $-$ operators, domains can be combined to form a new set of objects for applying a policy. The advantage of combining domains is that deletion and addition of objects from/to the domains can be done without changing the policies.

Two domains overlap if there are objects which are members of both domains, for example SenModule & LocModule in figure 1. Overlap arises in many situations related to sharing of resources for which both domains have applied to policies that have management responsibilities on the same set of object. Overlapping domains reflect the fact that multiple managers can be responsible for that multiple policies apply to the object or an object. Obviously this can lead to conflicts between policies or managers.

A policy, whether it is concerned with obligations or with authority, has the following attributes [6]:

- (i) Modality; each policy has positive or negative modality (see figure 2), which are important and adequate for the analysis in this work,
- (ii) Policy subjects; which define a set of users to whom the policy is directed,
- (iii) Policy target objects; define the set of objects at which the policy is directed,
- (iv) Policy goals; can be expressed as high-level goals which specify what the manager should achieve in abstract terms which do not identify how to achieve the goals, and
- (v) Policy constraints can be expressed in terms of system properties, such as extent or duration, or some other condition.

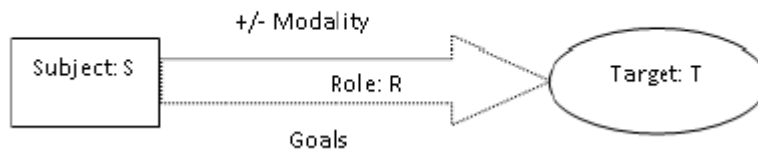


Figure 2. Policy Configuration

For any set of policies $\{p_i, q_j, O_k\}$ has been enforced in the system, the term policy conflict can be defined as follow:

Tow policies p_i and q_j are in conflict if and only if one of the following cases takes place:

- (i) p_i and q_j have been enforced simultaneously, then the system cannot choose a policy to enforce.
- (ii) The execution of p_i violates the action of q_j .

- (iii) Executing p_i makes q_j impossible to be enforced and vice versa (eg. turn-on and turn-off for the same device simultaneously).
- (iv) Executing of p_i before q_j while it must be executed after q_j (the ordering). For instance, q_j is “authorize the user” and p_i is “download the system files”.

While in the system specification, the system must authorize the user before he gets the system files.

In order to detect the conflicting policies first we must identify and define conflicting actions explicitly. Then, the simultaneous triggering of those policies should be investigated. Second, the ordering of events and actions should be identified clearly. Third, the inconsistent policies should be identified to prevent them from simultaneous execution. Finally, all system policies should be checked to identify whether policies make the action of another policies by violating their conditions. For instance, in our SMALLS example if Ok is the policy that “identify the mobile phone location”, while the mobile phone is currently attached to the corresponding APs, no policy that disable the database server must be applied before the policy that “send the required information to the mobile phone”.

4. OVERLAP ANALYSIS

In this work, static analysis is used to determine whether an event specified in the policy condition matches received event. A trigger graph is created after the policy compilation to identify the overlap between set of subjects, targets and actions (see figure 3), in simultaneously triggered policies. Furthermore, specifying the overlap will eventually avoid modality conflicts and multimanager conflicts, thereby improves system scalability. Static analysis is capable to evaluate only potential conflicts rather than actual conflicts. However, static analysis is limited to evaluate policy constraints, because of that constrains are completely depending on run-time state; moreover domain membership may change at run-time.

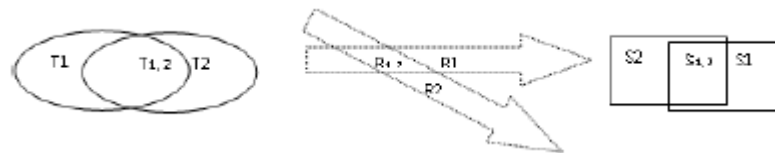


Figure 3. Overlapping Target (T) Role (R) and Subject (S)

A. Overlapping of Subjects

This occurs when the subject of two or more obligations or authority policies overlap, this means that it is expected in some cases the same subject may manage different group of targets. Figure 4 shows that P_1 applies $\{s_1, t_1, r_1\}$ and p_2 applies $\{s_2, t_2, r_2\}$, while there are some subjects $\{s'\}$ are included in both P_1 and P_2 , this means that, the subject of p_1 is $(s' \cup s_1)$ and the subject of P_2 is $(s' \cup s_2)$. Both p_1 and p_2 applies $\{s', t_1, r_1\}$ and $\{s', t_2, r_2\}$ respectively.

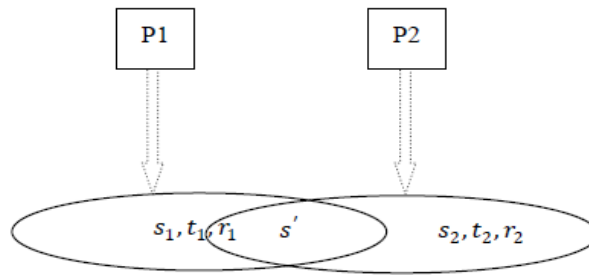


Figure 4. Overlap of Subjects

Example1 in our SMALLS scenario the same manager may enforce two different policies, the first policy to govern a group of Wi-Fi access points, while the other policy is to govern a group of users in the SMALLS active area as follows.

P1: “turn off all the sensors in the supermarket shopping area from 12:00 pm to 7:59 am”

P2: “Users with the description name Security are allowed to perform any action on any resource at anytime from anywhere in the mall”

B. Overlap of Roles

This occurs when the roles of two or more obligations “O” or authority “A” policies overlap, this means that it is expected in some cases the same object may be directed by different actions. The roles of such policies are in conflict if-and-only-if for any two policies $p1$ and $p2$ in one of these forms $\{O-/O+, A-/A+, O+/A-\}$, such that (+) indicates that the policy is permitted and (-) indicates that the policy is forbidden. Figure 5 shows that P1 applies $\{s1, t1, r1\}$ and $p2$ applies $\{s2, t2, r2\}$, while there are some roles $\{r'\}$ are included in both P1 and P2, this means that, the role of $p1$ is $(r' \cup r1)$ and the role of P2 is $(r' \cup r2)$. Both $p1$ and $p2$ applies $\{s1, t1, r'\}$ and $\{s2, t2, r'\}$ respectively.

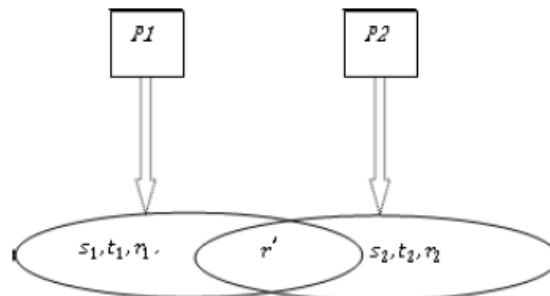


Figure 5. Overlap of Roles

C. Overlap of Targets

Similarly, when the targets of two or more obligations “O” or authority “A” policies overlap, means that it is expected in some cases the same target may be managed by different set of policies. The targets of such policies are in conflict when there are some constraints on the target. Figure 6.6 shows that P1 applies $\{s1, t1, r1\}$ and $p2$ applies $\{s2, t2, r2\}$, while there are some

targets $\{t'\}$ are included in both P1 and P2, this means that, the role of p1 is $(t' \cup t1)$ and the role of P2 is $(t' \cup t2)$. Both p1 and p2 applies $\{s1, t', r1\}$ and $\{s2, t', r2\}$ respectively.

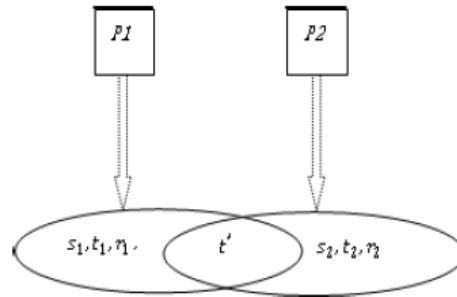


Figure 6. Overlap of Targets

5. CONFLICT ANALYSIS

This work is concentrating on static conflict analyses which assist to specify policies, roles and relationships. In the following sections, we show how to avoid the modality conflicts, inconsistency and multi manager conflicts.

We discuss overlap as the most important factor to policy conflicts. There are several possibilities for overlapping between policies (see figure 7), triple overlap “the set of subjects, targets and actions, of two or more policies with modality of opposite sign to the same subjects, actions and targets overlap”; double overlap “both the subjects and the target of the policies overlap”; subjects-targets overlap “the subjects of one policy and the target of another policy overlap”, target overlap and subjects overlap.

Modality conflict is expected when there is a triple overlap; here we give some example using our case study to show that modality conflict can arise due to different modalities in the set of policies.

In SMALLS system there are different managers (managers and coordinators), managers’ tasks are coordinated by a coordinator. Moreover, each manager has some responsibilities such as manages and governs system services (positioning service), resources (Wi-Fi access points, computer servers and smart phones). Both managers and coordinators use policies to manage and control the system. However some policies enforced by coordinators may restrict the managers from performing their tasks, and managers’ policies may restrict each other. When two or more policies applying to a tuple, there is a potential conflict and the policies can be checked to see whether there is an actual conflict “positive and negative policy with the same subjects, targets and actions”.

Let $S = \{s0, s1, s2, \dots, sn\}$ be a list of subjects, $T = \{t0, t1, t2, \dots, tm\}$ be a list of targets and $R = \{r0, r1, r2, \dots, rk\}$ be a list of roles in the system. For any two policies P1 and P2, let P1 being negative and P2 is positive. Figure 7 below show the overlapping between $p1(s1, t1, r1)$ and $p2(s2, t2, r2)$ for common subjects, actions and roles.

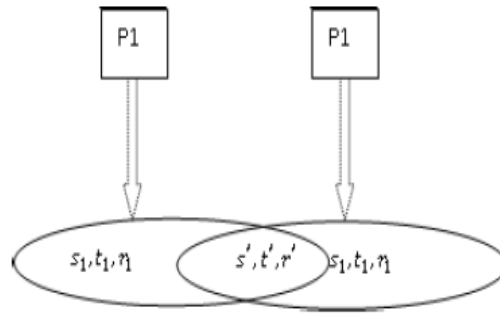


Figure 7. Triple Overlap Between Two Policies

Modality conflicts are expected if and only if a triple overlap between the policies -P1 and +P2 occurs and create the following tuples to which different sets of policies apply: P1 applies $(s_1, t_1, r_1) + (s', t', r')$, while P2 applies $(s_2, t_2, r_2) + (s', t', r')$, what mean that both P1 and P2 applies (s', t', r') . Example2, in SMALLS system there will be some policies pertaining to all staff and customers as well as some more specific policies related to part of the staff. Let us assume P1 and P2 be three polices assigned by different managers.

P1: “Users are not authorized to send requests or perform any action on any resource from 12:00 pm to 7:59 am”

P2: “Users with the description name ADMIN are allowed to perform any action on any resource at any time”

Modality conflicts can be avoided either by changing one policy or block system managers from the managed objects [6]. However, changing policies is not desired in the system, due to the fact that rewriting a policy is time consuming and may not be convenient or desirable in the general case. Blocking system managers means preventing them from controlling objects for a while, however this way is not desirable because the system is completely governed by policies enforced by managers. Thus, the best way to solve the conflicts between P1 and P2 in example 2, is allowing both of them as they enforced and determine which policy should be enforced first. Therefore we can identify the priority for each single policy of each conflicting pair. The assigned priority helps to determine which policy should be ignored when at least one of the policies actions is constrained

Definition 1, Definition 6.1 let $\rho_i = \{pr_i, ei, ci, ai\}$ and $\rho_j = \{pr_j, ej, cj, aj\}$ are two policies, where pr is the priority, e is the event, c is the condition and a is the action. The action $x.m$ means the message m is sent to object x, where $ai = xi.mi$ and $aj = xj.mj$. We assume ρ_i and ρ_j which have simple actions are enforced by manager Mgrk, and $Trig\rho_i$ denotes the triggering of policy ρ_i .

$$Trig\rho_i \Rightarrow (ei \wedge ci) \wedge O(\neg ei) \dots \dots \dots (1)$$

Formula (6.1) illustrates that policy ρ_i is triggered if and only if its event and conditions are true, $O(\neg ei)$ is to reset event after enforcing the policy.

To identify where a conflicts occurs and where potential conflict should be resolved in a set of policies, we should enumerate all subjects, targets which have a different set of policies applying

to them. Moreover, overlapping area should be determined explicitly. Therefore, the simultaneous triggering of p_i and p_j policies should be investigated. The LTL formula 2 requires policies p_i and p_j not to be triggered simultaneously by enforcing the higher priority policy first.

$$[(pr_i > pr_j) ? p_i : p_j] \text{-----} (2)$$

The overlap detection algorithm in figure 8, marks the triggered events of all managers to prevent calling the conflicting rules twice.

```

Algorithm Modality_Conflict (q ,P[],Ev[], Dom[])
1: Let Pr: array[1..MaxDomSize] of
   priority;
2: Let overlap:=false; mc:=0;
3: while q is not empty
4: trigger(Ev[i], Ev[j], Ev[k] ); //push
   event in the queue q
5: Let Ev[i]:=i;
6: Let Ev[j]:=j;
7: Let Ev[k]:=k; //mark triggered events
8: for all m in the domains do
9: Trigger(p[i], p[i+1]);
10:if pr[i+1]>pr[i] then
11:Let overlap:=true;
12:Let mc:=mc+1; //increment of
   conflicts mc
13:end if;
14:end for;
15:Let Ev[i]:=i+1; Ev[j]:=j+1; Ev[k]:=k+1;
16:end while;
17:return(mc);

```

Figure 8. Overlap Ddetection Algorithm

6. RESULTS AND DISCUSSION

The algorithm in figure 8, was executed using ponder language under the Linux redhat operating system, for three sets containing 100 " SecModule", 150 " LocModule", 50 " SenModule" rules. The output reports 91.8% of the conflicts between managers. The execution was repeated for different number of policies.

Each of the evaluation was measured four times, assuming the number of policies in the location is the same throughout the execution. In figure 9, we can see that the average time required for the 4 times executions according to the execution stages are as follow:

- generate the object file 0.7888s,
- send a query to managers 0.5278s,
- retrieve context information 0.5677s, and
- send back result to the mobile 0.575s.

The amount of time required to perform static conflict avoidance at compile time is 2.46s.

The evaluation result shows that the performance of PobMC is better than the previous works. Less than a second was the enough to perform every task as individual. Furthermore, by this evaluation, it is possible to compare PobMC to other existing approaches in term of its avoiding overlap and policy conflicts.

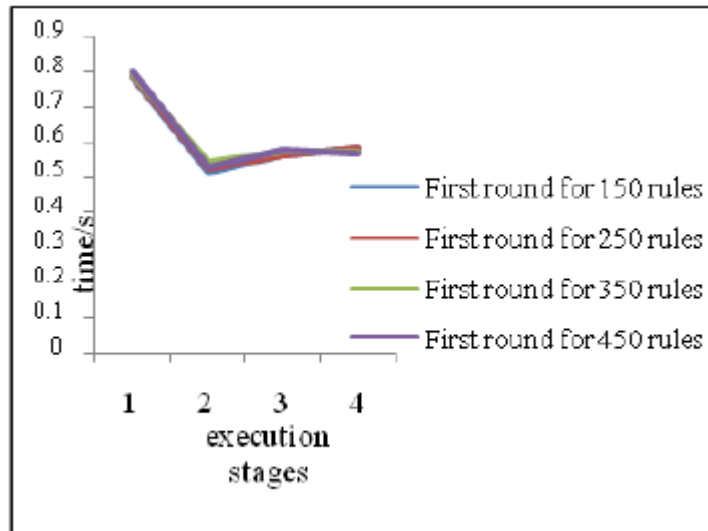


Figure 9. Performance Results for Overlap Algorithm

7. RELATED WORK

There are some techniques to static conflict detection discussed in the literature. Shiva [7] proposed an extended model of Event-Condition-Action (ECA) called ECA-Post condition to enable developers and administrators to annotate actions with their effects. The ECA-P framework uses static and dynamic conflict detection techniques to detect failure in policy execution by using post condition to verify successful completion of policy actions. However, Policy actions may not execute to completion due to various reasons such as changing active space configuration, device and component failure or software errors. Also Khakpour et al. [8] presented an analysis using Rebeca [9] which is an actor-based language for modelling concurrent asynchronous systems which allows to model the system as a set of reactive objects called rebecs, interacting by message passing. In order to introduce this, a new classification of conflicts may occur during governing policies. Moreover, they introduced a number of correctness properties of the adaptation process in the context of their models. Then, they used static analysis of adaptation policies in addition to model checking technique to verify those properties. While their system includes many different managers, there may be more than event,

While a considerable attempt at static and dynamic conflict detection has been presented in previous work, the very complex and crucial issue of dynamic conflict detection in policy-based management has gone largely unresolved. Moreover, current research has revealed that there is still a large class of policy conflict which simply cannot be determined statically. The current state of the art in policybased approach suffers from two main limitations. Firstly, they have

limited ways of detecting and resolving conflicts in policies. Secondly, they do not have mechanisms to ensure that policies are enforced or executed correctly. These limitations severely limit the effectiveness of policies as a way of managing ubiquitous computing environments.

One approach to avoid conflicts in authorization rules is presented by Yu et al, in [10]. They argue that a large number of rules may apply to a service and detecting and resolving conflicts in real time can be a daunting task. However, their system is completely static and assumes that it is always possible to determine priorities ahead of time and avoid conflicts. Another approach for avoiding conflicts in policy specification is proposed by Agrawal, et al, for defining authorization policies for Hippocratic databases [11-13]. Their system allows system administrators to specify system policies for administration and regulatory compliance and these policies have the highest priority. Moreover, the system allows users to manage their privacy preference as their policies do not conflict with the system policies.

While a considerable attempt at static and dynamic conflict detection has been presented in previous work, the very complex and crucial issue of dynamic conflict detection in policy-based management has gone largely unresolved. Moreover, current research has revealed that there is still a large class of policy conflict which simply cannot be determined statically. The current state of the art in policy based approach suffers from two main limitations. Firstly, they have limited ways of detecting and resolving conflicts in policies. Secondly, they do not have mechanisms to ensure that policies are enforced or executed correctly. These limitations severely limit the effectiveness of policies as a way of managing ubiquitous computing environments.

In our framework, the potential cycles specified and avoided earlier since the design time, here most of the requirement can be detected and catch during the analysis. The users policies may override other polices or be overridden based on context information.

8. CONCLUSION AND FUTURE WORK

The analysis of the policy conflicts is implemented using policy specification language called PONDER to check and detect policy cycles. Same as other existing approaches described in sthis paper, PobMC is evaluated using SMALL case study, based on PobMC modeling and policy conflict results. Our experiments show that the PobMC framework leads to effective policy-based management and is a feasible approach. In addition, our evaluation with PobMC has the ability to enhance the existing approaches to support software adaptation. PobMC which enables the coordination among system managers in order to adapt to system changes and avoid the potential overlap is the main contribution of this paper.

Our future work includes the static analysis to avoid inconsistency when a set of rules is enforced by different managers which are managing the same system.

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